

Stochastic Synthesis of Recursive Functions Made Easy with Bananas, Lenses, Envelopes and Barbed Wire

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Program synthesis: automatic generation of programs (functions) from

- examples (tests, cases, input-output pairs), or
- formal specifications (e.g., contracts), or
- other forms of *user's intent*.

Success stories:

- FlashFill¹, reinventing existing algorithms, discovering unknown algorithms, new hardware designs ...

¹Gulwani 2011.

- The *FP community* has long been interested in *principled methods* of program generation for *property based testing*, e.g. QUICKCHECK² and SMALLCHECK³ in Haskell, and the Scala analog SCALACHECK⁴.
- Conversely, the *Metaheuristics community* has long used *stochastic search* for generating programs according to a *quality measure*, using e.g. Genetic Programming⁵, Ant Programming⁶, 'Estimation of Distribution' Programming etc.
- This talk describes a *hybrid approach*, using principled methods to provide a skeleton for metaheuristic search.

²Claessen and Hughes 2000.

³Runciman, Naylor, and Lindblad 2008.

⁴Nilsson 2014.

⁵Koza 1992.

⁶Roux and Fonlupt 2000.



Synthesizing recursive programs

Challenges:

- Testing (executing) an ill-formed recursive program may lead to infinite sequence of nested calls.
- Recursive programs are particularly brittle: a minor modification may impact program's behavior on multiple tests, or worse - render it ill-formed.

Our contribution: Mitigating these problems by structuring/constraining the generate-and-test approach with formalisms known from FP:

- Algebraic Data Types
- Recursion schemes.

Algebraic data types (ADTs)

Defining new data types from existing ones S and T :

- 1 *Disjoint union*: the type containing *either* an instance of S or an instance of T , denoted $S + T$.
- 2 *Cartesian product*: denoted $S \times T$, the type of pairs (s, t) , where s is of type S , and t is of type T .
- 3 *Exponentiation*: the type of functions from S to T , denoted T^S .

ADT for list of integers

- Haskell ADT:

```
data IntList = Nil | Cons Int List
```

- Scala ADT for a list of integers and recursive *length* function:

```
sealed trait IntList
case class Nil() extends IntList
case class Cons(head: Int, tail: IntList) extends IntList

def length(l: IntList): Int = l match {
  case Nil() => 0
  case Cons(head, tail) => 1 + length(tail)
}
```

Lists are well-known data structures that are 'obviously composite'.

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Example: ADT for *Nat*

```
sealed trait Nat
case class Zero() extends Nat
case class Succ(pred: Nat) extends Nat
```

Recursion schemes

Recursion schemes 'externalize' recursion, i.e. replace *explicit* recursion with *implicit* recursion.

Fold for a list of integers and implicitly recursive *length* function:

```
def foldList[A](l: IntList ,
  nilCase: A,
  consCase: (Cons, A) => A): A = l match {
  case Nil()          => nilCase
  case Cons(x, xs) =>
    consCase(Cons(x, Nil()), foldList(xs, nilCase, consCase))
}
```

```
def lengthConsCase(c: Cons, acc: Int): Int = 1 + acc
```

```
def length(l: IntList): Int =
  foldList(l, 0, lengthConsCase)
```

Catamorphisms

Catamorphism = one of most common recursion schemes.

For brevity, often denoted via 'banana-bracket' notation⁷:

$$\langle \langle case_1, \dots, case_n \rangle \rangle \quad (1)$$

The *length* of a *List* is succinctly expressed as

$$\langle \langle 0, (l, accumulator) \mapsto 1 + accumulator \rangle \rangle, \quad (2)$$

For *Nats*:

```
def cataNat [A] (n: Nat,
  zeroCase: A,
  succCase: A => A): A = n match {
  case Zero () => zeroCase
  case Succ (pred) => succCase (cataNat (pred, zeroCase,
    succCase))
}
```

⁷Meijer, Fokkinga, and Paterson 1991.

Program Synthesis with Recursion Schemes

Idea: combine ADTs with catamorphisms in a method for synthesizing recursive functions, in hope for:

- Improved effectiveness (by eliminating the non-terminating candidate programs)
- Improved efficiency (by providing the skeleton of the recursion scheme, and so constraining the search space)

Two phases:

- 1 Synthesis of case expressions
- 2 Synthesis of case callback functions

Phase 1: Synthesis of case expressions

- Requires domain-specific knowledge to inform the specific accumulator type to be used, e.g.
 - a single *Nat* for the length function,
 - pairs of *Nats* for the Fibonacci function,
 - etc,
- For *recursive* ADTs the procedure requires a Category-Theoretic construction⁸, but it is still automatable.

⁸Kocsis and Swan 2017b; Bird and Moor 1997.

Phase 2: Synthesis of case callback functions

- Synthesizing a callback function for each case independently.
- The candidate programs for each case *are non-recursive*.
- Search can be performed with any algorithm, e.g.,
 - systematic exact search,
 - heuristic search (stochastic or not).
- We engage our grammatical optimization tool CONTAINANT⁹, an algorithm configurator/optimiser:
 - 1 Derives the grammar of the 'DSL' from client code, via reflection, by analysing the fields/attributes (*val*) and method signatures (*def*)
 - 2 Performs search in the space of solutions defined by the grammar.

⁹Kocsis and Swan 2017a.

Grammar of catamorphism cases for unary functions on *Nat*:

```
<CataNat> ::= <CaseZero> <CaseSucc>
<CaseZero> ::= <Nat>
<Nat> ::= Zero | Succ <Nat>
<CaseSucc> ::= <NatExpr>
<NatExpr> ::= Const <Nat>
              | Var <Nat>
              | Add <NatExpr> <NatExpr>
              | Mul <NatExpr> <NatExpr>
              | PDiv <NatExpr> <NatExpr>
```

Toy Example: Synthesis of successor function

Solution sought: $\langle 1, n \mapsto n + 1 \rangle$, or equivalently in Scala:

```
def zeroCase(): Nat = Succ(Zero)
def succCase(n: Nat): Nat = Succ(n)
```

Set of examples $C = \{(0, 1), (1, 2), (3, 4)\}$.

Toy Example: Synthesis of successor function

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Phase 1:

- 1 Automatically derive case expressions from the definition of ADT Nat : $Zero$ and $Succ(x)$.

Phase 2:

- 1 Partition C into:
 - $C_0 = \{(0, 1)\}$, for the $Zero$ case,
 - $C_1 = \{(1, 2), (3, 4)\}$, for the $Succ$ case.
- 2 Apply *ContainAnt* to each of above problems independently.

Benchmarks:

- Fib2: Fibonacci function
- Lucas: starts with 2 and 1 as the initial elements
- Pell: starts like Fibonacci, but $f_n = 2f_{n-1} + f_{n-2}$
- Fib3: starts with 0, 0 and 1 and sums *three* preceding elements
- OddEvens: returns zeros and ones alternately for odd- and even-depth recursive calls

Function (operator) set for program search:

| | |
|------|--------------------------------------|
| Succ | Successor function $m \mapsto m + 1$ |
| Add | Addition |
| Mul | Multiplication |
| PDiv | Protected division |

| Benchmark | Number of successful runs (out of 50) | | | | |
|-----------|---------------------------------------|-------|--------|---------|---------|
| | GE | CTGGP | PushGP | Cata-RS | Cata-AP |
| Fib2 | 40 | 50 | 7 | 50 | 50 |
| Fib3 | 3 | 50 | 13 | 50 | 50 |
| Lucas | 8 | 50 | 13 | 50 | 50 |
| OddEvens | 50 | 50 | 50 | 50 | 50 |
| Pell | 41 | 50 | 0 | 50 | 50 |

- Similar performance on: Sum, Square, Cube, Power(2,n)
- Cata-RS and Cata-AP visit fewer candidate solutions on average (lower computational effort)
- Statistically significant differences

To appear in '*Genetic Programming and Evolvable Machines*':

<https://link.springer.com/journal/10710>

ADTs + Recursion Schemes = Effectiveness *and* efficiency of synthesis.

- Problems decomposed and 'structured' to the extent that makes them solvable with random search.

Prospects:

- Other ADTs.
- Other recursion schemes.
- Optimization of non-functional properties of program execution.

Applications to other ADTs

ADTs and catamorphism for (generic) binary trees:

```
sealed trait Tree[A]
case class Leaf[A](value: A) extends Tree[A]
case class Node[A](l: Tree[A], r: Tree[A]) extends Tree[A]

def cataTree[A,R](arg: Tree[A], leafCase: A ⇒ R, nodeCase:
  (R, R) ⇒ R): R = arg match {
  case Leaf(value) ⇒ leafCase(value)
  case Node(l, r) ⇒ nodeCase(
    cataTree(l, leafCase, nodeCase),
    cataTree(r, leafCase, nodeCase) )
}
```

Applications to other recursion schemes

- Anamorphisms: constructing an instance of ADT from a value (the opposite to catamorphisms)
 - Example: *downfrom*, $f(n) = [n, n - 1, \dots, 1]$
 - In 'lens brackets' notation:

$$n, m \mapsto \text{if } m \text{ is } 0 \text{ then None else } (m, m - 1)$$

- Hylomorphisms: an anamorphism followed by a catamorphism
 - Example: factorial.
 - In 'envelope brackets' notation:

$$\llbracket (1, *) , \text{downfrom} \rrbracket$$

- Paramorphisms: similar to catamorphisms, but have access to entire substructures on which the recursive call is made.
 - Convenient for expressing factorial:

$$1, (n, m) \mapsto (1 + n) * m$$

- Zygomorphisms, futumorphisms, chronomorphisms, Elgot (co)algebras ...¹⁰

¹⁰Hinze, Wu, and Gibbons 2013.

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